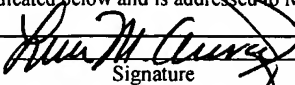


Express Mail No.: EV320118599US. I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
October 29, 2003	Luci M. Arevalo		October 29, 2003
Date Mailed	Name	Signature	Date

Attorney's Docket No: 42P13837D

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Eric C. Hannah

Serial No: Not Yet Assigned

Filing Date: Concurrently herewith

For: METHOD AND SYSTEM FOR OPTICALLY
SORTING AND/OR MANIPULATING
CARBON NANOTUBES

)
)
) Examiner: Not Yet Assigned
)

) Art Unit: Not Yet Assigned
)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir/Madam:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents listed on thereon. It is respectfully requested that the listed documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

_____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A certification pursuant to 37 C.F.R. §1.97(e) or

_____ A check for \$_____ for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

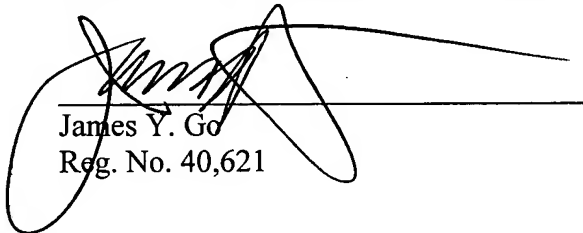
- (1) A certification pursuant to 37 C.F.R. §1.97(e);
- (2) A petition requesting consideration of the Information Disclosure Statement; and
- (3) A check for \$_____ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10-29-03


James Y. Go
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Los Angeles, CA 90025-1026
(206) 292-8600

Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	New Application	
				Filing Date	Concurrently herewith	
				First Named Inventor:	Eric C. Hannah	
				Art Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	1	of		2	Attorney Docket Number	42P13837D

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	1.	US-	6,331,690 B1	12/18/2001	Yudasaka et al.	
	2.	US-	6,333,016 B1	12/25/2001	Resasco et al.	
	3.	US-	6,350,488 B1	02/26/2002	Lee et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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Substitute Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	New Application
				Filing Date	Concurrently herewith
				First Named Inventor:	Eric C. Hannah
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	42P13837D
Sheet	2	of	2		
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
	4.	TANG, X.-P. ET AL.; Electronic Structures of Single-Walled Carbon Nanotubes Determined by NMR; Science, April 2000 Pgs. 492-494 Vol. 288.			
	5.	ANDREWS, R. ET AL.; Continuous Production of Aligned Carbon Nanotubes: A Step Closer to Commercial Realization; Chemical Physics Letters, 303 (1999) 467-474.			
	6.	KUHR, STEFAN ET AL.; Deterministic Delivery of a Single Atom; Science, July 2001, Pgs. 278-280, Vol.293.			
	7.	WILDÖER, JEROEN W. G. ET AL.; Electronic Structure of Atomically Resolved Carbon Nanotubes; Nature, January 1998, Pgs. 59-62, Vol. 391.			
	8.	JOURNET, C. ET AL.; Large-Scale Production of Single-Walled Carbon Nanotubes by the Electric-Arc Technique; Nature, August 1997, Pgs.756-758, Vol. 388.			
	9.	VENEMA, LIESBETH C. ET AL.; Imaging Electron Wave Functions of Quantized Energy Levels in Carbon Nanotubes; Science, January 1999, Pgs. 52-55, Vol. 283.			
	10.	HAN, H.X. ET AL.; Photoluminescence Study of Carbon Nanotubes; Los Alamos Physics Preprints: cond-mat/0004035, April 2000, 6 Pgs.			
	11.	ROCHEFORT, ALAIN ET AL.; The Effects of Finite Length on the Electronic Structure of Carbon Nanotubes; Los Alamos Physics Preprints: cond-mat/9808271, August 1998, 18 pgs.			

Examiner Signature		Date Considered	
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